

Trade Surveillance Suite

so you can focus on trading



Trade Surveillance

monitor for a wide range of restricted behaviors across multiple asset classes, configured to your specific supervisory requirements, and document with an automated audit trail -- all in a single dashboard

- Spoofing
- Layering
- Marking the Close
- Trade-Through
- Rule 105 of Reg M
- Reg SHO
- Equity-Options Manipulation ("Mini Manipulation")
- Wash Sales
- Insider Trading / Large Move
- TRF / ORF Order Marking



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